



Approved by the Board of Trustees May 18, 2022 Approved by the Senate May 23, 2022

Approved by the Council for Doctoral Studies (CDS) May 12, 2022

REGULATIONS

FOR THE AWARD AND REVOCATION OF DOCTORAL SCHOOL MEMBERSHIP WITHIN THE IODS CAROL DAVILA UNIVERSITY OF MEDICINE AND PHARMACY OF BUCHAREST





CHAPTER I. General provisions

Article 1. These Regulations were adopted pursuant to the following reference documents:

- The National Education Law no. 1/2011, published in the Official Gazette no. 18 of January 10, 2011, as subsequently amended and supplemented;
- The Government Decision no. 681/2011 approving the Code of Doctoral Studies, published in the Official Gazette no. 551 of August 3, 2011, as subsequently amended and supplemented;
- The Order of the Minister of Education and Research no. 5229/2020 on the approval of the methodologies for the award of the habilitation degree, the award of the doctoral degree and the resolution of complaints concerning the violation of quality or professional ethics standards, including plagiarism, in a doctoral thesis;
- The Order of the Minister of Education and Research no. 6129/2016 on the approval of the minimum standards necessary and mandatory for the award of academic ranks in higher education and research and development, of the status of doctoral advisor and of the habilitation degree;
- The Order of the Minister of National Education no. 4982 of September 5, 2013, on doctoral supervision;
- The methodology of the Carol Davila University of Medicine and Pharmacy of Bucharest for the organization and conduct of habilitation certification;
- The methodology of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest regarding the automatic recognition of the status of doctoral advisor acquired in foreign accredited universities;
- The Regulations for the organization and conduct of doctoral studies at the IODS Carol Davila University of Medicine and Pharmacy of Bucharest.

Article 2.

(1) The process to award or revoke membership of the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest (IODS-CDUMP) is organized pursuant to the national laws and these Regulations.

(2) These Regulations apply to:

- Education professionals/research scientists who are doctoral advisors and who wish to become members of the Doctoral School of the IODS-CDUMP;
- Doctoral advisors of the Doctoral School of the IODS-CDUMP whose Doctoral School membership is revoked.

Article 3. To become members of the IODS-CDUMP, applicants must meet the minimum standards of scientific performance established by the Doctoral School and specified in *Annex l* hereto.

CHAPTER II. Organization and conduct of the Doctoral School membership awarding process

Article 4. Education professionals/research scientists who have acquired the right to supervise doctoral studies prior to the entry into force of the National Education Law no. 1/2011 as well as education professionals/research scientists who have a habilitation degree, having at least





the rank of Assistant Professors/Lecturers or Research Scientists III respectively, may apply for membership of the Doctoral School of the IODS-CDUMP.

Article 5.

(1) Education professionals/research scientists who are **tenured at the Carol Davila University of Medicine and Pharmacy** and have the status of doctoral advisors and are not members of other doctoral schools must submit to the Registry Office of the University, to the attention of the Council for Doctoral Studies (CDS), the following documents:

- (a) Printed (hard) copy of the standard application for membership of the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest, prepared according to *Annex 2* hereto;
- (b) Copy of the ministerial order for the award of the habilitation degree or the status of doctoral advisor or copy of the decision for the recognition of the applicant's status of doctoral advisor acquired in a foreign accredited university, issued by the National Center for the Recognition and Equivalence of Diplomas or by the Carol Davila University of Medicine and Pharmacy of Bucharest;
- (c) Checklist certifying the fulfillment of the minimum standards to acquire the habilitation degree for the field in which the applicant seeks to supervise doctoral studies;
- (d) Checklist certifying the fulfillment of the minimum standards of scientific performance established by the Doctoral School of the IODS-CDUMP (*Annex 3* hereto).

Article 6.

(1) Education professionals/research scientists who are **tenured at other universities/research institutes/at the Romanian Academy** and have the status of doctoral advisors and **doctoral advisors who are members of other doctoral schools** must submit to the Registry Office of the University, to the attention of the Council for Doctoral Studies (CDS), the membership file containing the following documents:

- (a) Printed (hard) copy of the standard application for membership of the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest, prepared according to *Annex 2* hereto;
- (b) Approval of the Senate of the higher education institution/management of the institution/presidency of the Romanian Academy where the applicant is a tenured professor/doctoral advisor, regarding the applicant's membership of the IODS-CDUMP;
- (c) **Proof of the applicant's tenure** in accredited higher education/the Romanian Academy/another institution;
- (d) Curriculum vitae signed by the applicant—both as printed (hard) copy and electronic (soft) copy (scan/PDF);
- (e) Copy of the ministerial order for the award of the habilitation degree or the status of doctoral advisor or copy of the decision for the recognition of the applicant's status of doctoral advisor acquired in a foreign accredited university, issued by the National Center for the Recognition and Equivalence of Diplomas or by the Carol Davila University of Medicine and Pharmacy of Bucharest;





- (f) Checklist certifying the fulfillment of the minimum standards to acquire the habilitation degree for the field in which the applicant seeks to supervise doctoral studies;
- (g) Checklist certifying the fulfillment of the minimum standards of scientific performance established by the Doctoral School of the IODS-CDUMP (Annex 3 hereto);
- (h) Photocopies of the applicant's **personal identification documents**: ID, proof of name change if the name on the ministerial order no longer matches the name on the ID.

(2) If the documents specified in paragraph (1) letter (h) are not drafted in Romanian or English, they must be accompanied by notarized translations in Romanian.

(3) All documents must be submitted in a folder as both hard copies and soft copies (scans/PDF documents) on a CD. (The CD must contain all of the aforementioned documents in separate files, i.e., one document per file).

Article 7. After the folder is verified and endorsed by the Doctoral School Council, the membership application will be sent to the CDS and the Rector for endorsement and then to the University Senate for approval.

Article 8. Membership of the Doctoral School is terminated in the following cases:

- (a) Following an application to withdraw from the Doctoral School;
- (b) Due the doctoral advisor's insufficient performance, ascertained by internal evaluations;
- (c) In the event of a violation of quality or professional ethics standards, ascertained by a Decision of the CDUMP Academic Ethics Committee;
- (d) Due to the revocation of the status of doctoral advisor by the NCAUDDC, in the event of a violation of quality or professional ethics standards.

Article 9. The proposal of the Doctoral School Council to revoke the Doctoral School membership will be sent to the CDS and the Rector for endorsement and then to the University Senate for approval. The revocation of the Doctoral School membership becomes effective/comes into force at the end of the current academic year.

Article 10.

(1) Until the completion of the relevant advisor's membership revocation process, the doctoral advisor in question must perform all of his/her obligations as doctoral advisor under the Code of Doctoral Studies and the Regulations for the organization and conduct of doctoral studies at the IODS Carol Davila University of Medicine and Pharmacy of Bucharest.

(2) Doctoral students who are in ongoing programs under the supervision of the revoked doctoral advisor will be redirected to other doctoral advisors who are members of the Doctoral School, being supported in this endeavor by the DSC.

CHAPTER III. Final provisions

Article 11. These Regulations were approved in the meeting of the University Senate held on May 23, 2022, and are effective as of the academic year 2022/2023.

Article 12. The following annexes are integral parts hereof:





Annex 1-Minimum standards of scientific performance established by the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy;

Annex 2-Application for membership of the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest;

Annex 3—Checklist certifying the fulfillment of the minimum standards established by the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest.





ANNEX 1

Minimum standards of scientific performance established by the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest

- 1. At least five (5) articles published as first author in ISI-indexed journals;
- 2. At least **five (5) articles** published as **corresponding author** or **last author** in ISI-indexed journals;
- 3. At least **three (3) articles** published as **lead author** (first author, corresponding author, last author) in ISI-indexed journals that are classified as **Q1 or Q2**, according to the journal's classification in the year the article was published;
- 4. At least one (1) research project won as director or partner project coordinator in a national or international competition or at least three (3) research projects that were submitted as director or partner project coordinator and that have received ≥ 75 points in national or international competitions, at least one of which must have been submitted in the last 5 years.

Notes:

- 1. The analysis will include original articles and reviews. For publications in journals with an impact factor > 3, other types of in extenso publications (no abstracts) may also be taken into consideration.
- 2. The articles must be **published and indexed**, not pending publication (no certificates accepted).
- 3. Research projects must fall within the scope of the programs funded by the <u>National</u> <u>Research, Development and Innovation Plans</u>, the list thereof being updated regularly. Other research projects with a minimum value of 50,000 EUR can also be taken into consideration.





Projects funded via the National Research, Development and Innovation Plans (NRDIP)

• <u>Research projects funded within NRDIP III (2015–2020)</u>

Program P1—Development of the national R&D system

Subprogram 1.1. Human resources

- Postdoctoral research projects (PD)
- Research projects to stimulate young independent teams (YT)
- Subprogram 1.2. Institutional performance
 - Complex projects undertaken in R&D&I consortia (CPRDI)
- Subprogram 1.3. Research and development infrastructures

Program P2—Increasing the competitiveness of the Romanian economy through R&D&I Subprogram 2.1. Competitiveness through research, development and innovation

- Bridge Grant (Transfer of knowledge to the economic operator) (BG)
- Demonstration experimental project (DEP)
- Transfer to the economic operator (PTE)
- o Innovation checks (IC)
- o Solutions (SOL)

Program P3—European and international cooperation Subprogram 3.1. Bilateral/multilateral

- Mobility projects (MP)
- Complex projects (CP)

Subprogram 3.2. Horizon 2020

- GEOTHERMICA
- FLAG-ERA-II
- QuantERA
- MANUNET III
- EuroNanoMed III
- ERA4CS
- o ACT
- o ProSafe
- NEURON
- FACCE-SURPLUS
- CoBioTech
- o SUSFOOD 2
- BIODIVERSA 3
- CORE Organic Cofund
- ERA-GAS
- WaterWorks 2015
- ENSUF ERA-NET co-fund Smart Urban Futures
- E-rare 3
- ERA-NET Smart Grids Plus
- WaterWorks 2014
- ERA.Net RUS plus

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- o INCOMERA
- o ERA-MIN2
- Chist ERA-III Cofund
- o BiodivScen
- o MarTERA
- M-ERA.NET
- o SusCrop
- o Sustainable Urbanisation Global Initiative
- Smart Cities and Communities (ENSCC)
- o PerMed
- WaterWorks 2017
- ERA-Net SG+ RegSYS
- o BiodivHealth
- ERA-GAS 2
- o BlueBio
- o FLAG-ERA III Joint Transnational Call (JTC)
- o BiodivClim
- CHIST ERA-IV Cofund
- ICT-AGRI-FOOD
- Aquatic Pollutants
- ERAMIN 3
- TRANSCAN 3
- QuantERA II
- M-ERA.NET 3
- NEURON Cofund 2
- CHANSE
- ERA-NET Urban Transformation Capacities (ENUTC)
- o Joint Call 2020 Digital Transformation for Green Energy Transition (MICALL20)
- Call for Africa Europe Collaborative Research & Innovation projects on Renewable Energy (LEAP-RE)
- BiodivRestore
- ERA-NET Cofund Urban Accessibility and Connectivity (EN-UAC)

Subprogram 3.3. Supporting Romanian participation in European joint programming initiatives

• Projects funded within Joint Programming Initiatives/JPIs

Subprogram 3.5. Other European and international initiatives and programs

- Active and Assisted Living (AAL) program
- Co-funding of NATO SPS projects European and international cooperation—Eureka Traditional (Network), Eureka-Cluster And Eurostars projects

Program P4—Basic and frontier research

- Exploratory research projects (ERP)
- Complex frontier research projects (CFRP)
- ERC-like research projects (ERC)





EEA Financial Mechanism 2014–2021, executed between the Donor States Norway, Iceland and Liechtenstein and the Government of Romania, and the Norwegian Financial Mechanism 2014–2021 executed between Norway and the Government of Romania—EEA Grants: "Research" program area (NO preparatory visits).

• <u>Research projects funded within NRDIP II (2007–2013)</u>

HR program:

- Research projects to stimulate the establishment of young independent research teams
- Postdoctoral research projects—type PD
- Research projects to stimulate the return of research scientists to Romania-type RP
- Complex projects to reintegrate research scientists—type RRS
- Research projects for young doctoral students—type YDS

Ideas program:

- Exploratory research projects—type ERP
- Complex frontier research projects—type CFRP

Partnerships in priority areas program:

- o Collaborative Applied Research Projects
- Thematic R&D&I projects

Capacities program:

• Module I-Investment projects for the development of public R&D infrastructure

International cooperation:

- ERA-NET
- AAL (Ambient Assisted Living)
- o FP7
- o FP6
- Projects funded from joint funds by Iceland, Liechtenstein and Norway (EEA/European Economic Area) or by the South East Europe (SEE) Transnational Cooperation Program

• <u>Excellency Research Program—CEEX (2005–2008)</u>

Module 1—Complex research and development projects

Module 2—HR development projects for research

- 1. Research projects for young research scientists
- Excellency research projects for young research scientists
- Research projects to stimulate the return of research scientists to Romania
- National Council for Scientific Research in Higher Education grants (1995–2008)
- Annual programs—type A
- Annual programs for youths—type AY
- Annual programs—type A_Consortium

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- Research projects funded within NP I (1999–2006)
- o CORINT
- BIOTECH
- INFOSOC
- o RELANSIN





ANNEX 2

Endorsed by	Endorsed by	Endorsed by	Approved by
Doctoral School Council	Council for Doctoral Studies	Rector	Senate
Date	Date	Date	Date

APPLICATION FOR MEMBERSHIP OF THE DOCTORAL SCHOOL of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest

TO THE RECTOR

I, the undersigned		(first	name(s)	and	last name),	ten	ured
professor at the				_,	holder	of	the
academic/research rank of					_, as a	doc	toral
advisor in the doctoral field of _			_, as co	ertif	fied by M	linist	erial
Order no.	, I hereby apply	for n	nember	rshij	p of the	Doc	toral
School of the IODS Carol Davil	a University of Medicine and	d Pha	rmacy	of I	Buchares	t.	

Please note that the habilitation thesis defense was organized and took place at the University

Enclosed please find attached the Membership File compiled according to \Box Article 4/ \Box Article 5 hereof.

Date

Signature





ANNEX 3

CHECK REGARDING THE FULFILI OF THE DOCTORAL SCHOOL	LMENT OF THE MINIMUM STANDARDS		
The minimum standards have been met:			
Doctoral School Director CDS Director			
Date			

CHECKLIST CERTIFYING THE FULFILLMENT OF THE MINIMUM STANDARDS established by the Doctoral School of the IODS Carol Davila University of Medicine and

Pharmacy of Bucharest

I. APPLICANT DATA Academic/research rank: Last name: First name(s):

II. Numerical data on the fulfillment of the minimum standards established by the Doctoral School of the IODS Carol Davila University of Medicine and Pharmacy of Bucharest

1. Articles published in ISI-indexed journals as first author

Criterion	Minimum standard	Achieved
Number of articles published in ISI-indexed journals as first author	5	

Criterion fulfilled:

 \Box YES \Box NO

List of articles published in ISI-indexed journals as first author: authors, article title, journal, year, volume, pages, impact factor

- 1.
- 2.
- •••

3.

2. Articles published in ISI-indexed journals as corresponding author or last author

Criterion Minimum standard Achieved





Number of articles published in ISI-indexed journals as corresponding author or last	5	
author		

Criterion fulfilled:

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\Box YES \Box NO
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List of articles published in ISI-indexed journals as corresponding author or last author: authors, article title, journal, year, volume, pages, impact factor

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1.
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2.

•••

3.

3. Articles published as lead author in Q1 or Q2 ISI-indexed journals

Criterion	Minimum standard	Achieved
Number of articles published as lead author (first author, corresponding author, last author) in ISI-indexed journals that are classified as Q1 or Q2 in the year the article was published	3	

List of ISI articles published as lead author in ISI-indexed journals that are classified as Q1 or Q2: authors, article title, journal, year, volume, pages, impact factor, quartile 1. Q1 (Q2)

2. Q1 (Q2)

•••

- n. Q1 (Q2)
 - 4. Research projects won/submitted as project director or partner project coordinator in national or international competitions (according to the list of projects funded via the National Research, Development and Innovation Plans)

Criterion	Minimum standard	Achieved
Project Director/Partner Project Coordinator	One (1) research project won in a national or international competition or Three (3) research projects submitted in national or international competitions	
	with a score \geq 75 points, at least one of which must have	





been submitted in the last 5	
years	

List of projects won as project director: project title, competition, funding level, link to the project/competition website; documentary evidence of holding the position of project director/partner project coordinator must be enclosed (a copy of the first page of the contract/partnership agreement or a copy of the funding application annex which shows that the person in question held the position of project director/partner project coordinator).

Project	1:
Project	2:

Project n: