



**UNIVERSITATEA DE MEDICINĂ ȘI FARMACIE
„CAROL DAVILA“ DIN BUCUREȘTI**



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FIELD: MEDICINE

PhD Thesis

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**MUSCULAR DYSTROPHY:
BETWEEN SCIENTIFIC PROGRESS AND CLINICAL
REALITIES**

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FUNDAMENTAL RESEARCH PROBLEM

Duchenne (DMD) and Becker (BMD) muscular dystrophies are rare genetic neuromuscular disorders characterized by severe motor impairment and multisystem involvement, with a progressive course. The implementation of modern standards of care has led, over the past decades, to a significant increase in life expectancy and an improvement in the quality of life of these patients [1–3].

However, this increased survival has revealed previously underrecognized aspects of the disease, such as neuropsychiatric and cognitive comorbidities, the challenges related to transition to adult care, and the presence of atypical clinical forms. In Romania, the systematic documentation and integration of these aspects into clinical practice are still under development. The lack of functional national registries, difficulties in interspecialty coordination, and the absence of well-defined medical transition pathways represent major challenges that require the development of applicable and sustainable strategies [4].

At the same time, advances in molecular therapies and the growing focus on personalized medicine demand a deeper understanding of the relationship between genetic profile and phenotypic variability. Genotype–phenotype correlations are becoming essential both for anticipating prognosis and for tailoring therapeutic interventions to the individual characteristics of each patient [5,6].

The fundamental research problem lies in the need for an integrated approach that correlates genetic, clinical, and functional data, adapted to the specific context of DMD and BMD patients in Romania, and that supports the development of predictive and sustainable care models.

Research hypothesis

Based on the premise that Duchenne and Becker muscular dystrophies are multisystem disorders with significant phenotypic variability, the research hypothesis is that integrating genetic, clinical, and functional data enables the identification of meaningful genotype–phenotype correlations, with direct implications for diagnosis, prognosis, and therapeutic decision-making. Furthermore, the systematic assessment of neuropsychiatric comorbidities and the medical transition process may support the development of adapted, sustainable models of care aligned with the principles of personalized medicine.

The aim of the studies conducted within this thesis is to analyze scientific progress in the field of muscular dystrophies, to investigate the relationship between genetic profile and the variability of clinical manifestations in patients, and to explore ways of integrating this knowledge into clinical practice in order to improve patients' quality of life.

Research objectives

1. Comprehensive evaluation of the phenotypic profile in patients with DMD and BMD, through the characterization of both motor and non-motor involvement, including associated comorbidities.
2. Analysis of genotype–phenotype correlations, with a focus on the type and location of the genetic variant and the expression of dystrophin isoforms, in relation to clinical severity and the risk of neuropsychiatric comorbidities.
3. Characterization of the spectrum of neuropsychiatric comorbidities by identifying the frequency and typology of affective, behavioral, and neurodevelopmental disorders.
4. Assessment of the actual pathways of medical transition from pediatric to adult care and identification of systemic obstacles influencing this process.
5. Presentation of representative clinical cases illustrating the variability of clinical phenotypes and the complexity of multidisciplinary management, including atypical phenotypes (e.g. DMD in females, early-onset severe forms).
6. Formulation of clinical and organizational intervention proposals, based on the obtained results, to support the development of predictive, integrated, and personalized care models for DMD and BMD in Romania.

Research methodology

The studies included in this research were conducted within the Department of Pediatric Neurology at “Dr. Victor Gomoiu” Clinical Children’s Hospital in Bucharest, a tertiary reference center for rare diseases, an academic affiliate, and an accredited DMD Center by the World Duchenne Organization. The center’s activity involves the evaluation, diagnosis, and multidisciplinary follow-up of patients with Duchenne and Becker muscular dystrophies.

The research had a composite structure, including both retrospective and prospective observational studies, carried out on distinct patient cohorts selected according to specific objectives: characterization of clinical phenotypes, analysis of genotype–phenotype correlations, evaluation of neuropsychiatric comorbidities, and assessment of medical transition pathways.

Collected data included genetic parameters (variant type and location, affected dystrophin isoforms, diagnostic method), clinical aspects (motor, cardiac, respiratory, orthopedic, endocrine, digestive), functional status, and neuropsychiatric features (assessed using CBCL, SNAP-IV, and ASD screening tools). The degree of autonomy and transition readiness was evaluated using the Transition Readiness Assessment Questionnaire (TRAQ).

The methodology also involved a detailed analysis of three representative clinical cases selected to illustrate the phenotypic variability and the complexity of multidisciplinary care.

Data were centralized in an anonymized database and analyzed statistically using descriptive methods and, where applicable, correlation analyses between genetic profiles and clinical characteristics. All studies were conducted in accordance with ethical standards and informed consent regulations, following current legal requirements.

CHAPTER SUMMARY

Current state of knowledge

The general part of the thesis is structured into three chapters that synthesize current knowledge on Duchenne and Becker muscular dystrophies, with emphasis on genetic foundations, multisystemic manifestations, and therapeutic perspectives.

Chapter 1 presents the genetic and clinical characteristics of DMD and BMD, with a focus on the types of variants identified in the DMD gene, the role of dystrophin in skeletal muscle and other tissues, and the implications of Dp427, Dp140, and Dp71 isoform expression in phenotypic variability. Diagnostic criteria and the natural history of disease progression are also addressed [7,8].

Chapter 2 is dedicated to multisystem involvement and associated comorbidities: cardiac, respiratory, endocrine, orthopedic, and neuropsychiatric, and to how these affect quality of life and prognosis. The chapter concludes with a discussion on the transition from pediatric to adult care, summarizing international recommendations and current challenges in Romanian clinical practice [2,9].

Chapter 3 briefly outlines current directions in therapeutic research, including gene therapy, exon skipping, stop codon read-through, and other innovative treatments under clinical investigation [10–19].

Chapter 4 formulates the working hypothesis and general objectives of the research, as previously presented in earlier sections of the abstract.

The special part of the thesis is structured into five chapters and represents the original contribution of the PhD candidate. It includes four distinct clinical observational studies (chapters 5–8) and one chapter dedicated to the presentation of three representative clinical cases (chapter 9). The studies address major topics that are insufficiently documented in both national and international literature, and are based on data collected in clinical practice and the use of internationally validated tools.

Capitolul 5 – Anxietatea și depresia în DMD și DMB: influența genotipului, a progresiei bolii și a suportului psihosocial în context postpandemic

Capitolul investighează incidența simptomelor de anxietate și depresie în rândul pacienților cu DMD și DMB, analizând posibilele corelații cu stadiul bolii, profilul genetic și

nivelul de suport familial și psihologic. Studiul a inclus pacienți evaluați într-un context postpandemic, utilizând chestionare validate și observații clinice integrate în evaluarea psihologică standard. Rezultatele sugerează o prevalență crescută a simptomelor afective, cu severitate mai mare în rândul pacienților cu afectare motorie avansată, izolare socială accentuată și expresie absentă a izoformelor cerebrale Dp140 și Dp71 [8,20–24]. Discuțiile pun în evidență importanța unui screening sistematic al tulburărilor afective și integrarea suportului psihologic în echipa multidisciplinară. Capitolul se încheie cu propuneri de bune practici privind monitorizarea simptomelor psihiatrice și susținerea psihoemoțională a pacienților și familiilor acestora [20,21,24–27].

Chapter 5 – Anxiety and depression in DMD and BMD: the influence of genotype, disease progression, and psychosocial support in the post-pandemic context

This chapter investigates the incidence of anxiety and depression symptoms among patients with DMD and BMD, analyzing potential correlations with disease stage, genetic profile, and the level of familial and psychological support. The study included patients evaluated in a post-pandemic context, using validated questionnaires and clinical observations integrated into the standard psychological assessment. The results suggest a high prevalence of affective symptoms, with greater severity among patients with advanced motor impairment, marked social isolation, and absent expression of the cerebral isoforms Dp140 and Dp71 [8,20–24]. The discussion highlights the importance of systematic screening for affective disorders and the integration of psychological support within the multidisciplinary team. The chapter concludes with recommendations for best practices in monitoring psychiatric symptoms and providing psycho-emotional support to patients and their families [20,21,24–27].

Chapter 7 – Autism spectrum disorder associated with Duchenne and Becker muscular dystrophies: an observational study and the relevance of cerebral isoform expression

This chapter investigates the association between autism spectrum disorder (ASD) and DMD/BMD, based on the hypothesis that the absence of cerebral dystrophin isoforms plays a pathogenic role. All patients included in the study underwent psychological and psychiatric evaluation, and in cases where features suggestive of ASD were observed, specific screening and diagnostic tools were applied. The results indicated a higher prevalence of autistic traits among patients lacking the Dp140 and Dp71 isoforms, supporting the hypothesis of their involvement in neurodevelopment. The study highlights the importance of careful monitoring

of ASD-related symptoms in DMD and BMD and the need to include genetic profiling in neurodevelopmental assessment algorithms [32,33].

Chapter 8 – Transition from pediatric to adult care in muscular dystrophy: challenges, specific needs, and proposed solutions

This chapter examines the medical transition process in patients with muscular dystrophy, aiming to identify encountered difficulties, the level of transition readiness, and possible solutions applicable to the Romanian context. The study included adolescents and young adults with DMD and BMD, who were assessed in terms of transition through a retrospective analysis of their medical history and by applying the standardized Transition Readiness Assessment Questionnaire (TRAQ) [1,2,26,34]. The results revealed a low level of autonomy and a lack of continuity in care after leaving pediatric services, mainly due to the absence of institutionalized transition pathways. The discussion highlights the need to develop a formal transition model that includes the gradual preparation of both the patient and their family, interinstitutional collaboration, and the empowerment of young individuals in managing their condition. The chapter proposes concrete elements for structuring such a model, tailored to the realities of the Romanian healthcare system.

Chapter 9 – Clinically relevant cases illustrating the studied pathology

This chapter presents three clinical cases selected to illustrate the variability in disease progression and the complexity of care in Duchenne muscular dystrophy. The first case describes a patient with DMD who developed severe respiratory complications and experienced an unfavorable outcome despite supportive interventions, highlighting the challenges of advanced respiratory management [35]. The second case follows a DMD patient with early-onset dilated cardiomyopathy during childhood, emphasizing the importance of early cardiac monitoring and proactive therapeutic intervention [36]. The third case presents a severe DMD phenotype in a female patient, a genetically and histopathologically confirmed ultra-rare case, illustrating the diagnostic and prognostic implications of such an atypical presentation [37,38].

Conclusions

The conducted research highlighted the importance of a multidimensional approach in the evaluation and management of patients with DMD and BMD. The analysis of neuropsychiatric comorbidities revealed a significant prevalence of anxiety and depression

symptoms, correlated with disease severity, social support level, and genetic profile, emphasizing the need to integrate psychological assessment into the standard care protocol. The study on cognitive profiles confirmed the association between intellectual disability and the absence of cerebral dystrophin isoforms Dp140 and Dp71, underlining the importance of early neurocognitive evaluation. Data regarding ASD showed an increased prevalence of autistic traits in genetic forms with impaired cerebral isoform expression, supporting the inclusion of specific screening in routine assessments.

The analysis of the transition from pediatric to adult care revealed major challenges in preparing adolescents and their families for this stage, as well as the lack of functional transition pathways in Romania. The findings support the need to develop a formal, gradual, and personalized transition model.

The clinical case studies illustrated critical aspects of disease progression in DMD, including severe respiratory complications, early-onset cardiomyopathy, and rare phenotypes in female patients, reinforcing the need for proactive monitoring and continuous adaptation of care.

Overall, the research underscores the essential role of correlating genetic, clinical, and psychosocial data in enabling predictive and personalized medicine in Duchenne and Becker muscular dystrophies.

Personal contributions

1. Conducting a systematic analysis of anxiety and depression symptoms in patients with DMD and BMD, in correlation with genetic profile, disease stage, and post-pandemic psychosocial context, identifying specific risk factors and proposing the integration of affective screening into routine assessments.
2. Characterizing the intellectual profile of patients with DMD and BMD and demonstrating the correlation between the absence of cerebral isoforms Dp140/Dp71 and cognitive impairment, supporting the importance of early neurocognitive evaluation.
3. Investigating the frequency of ASD in patients with DMD and BMD and correlating it with cerebral isoform expression.
4. Evaluating transition readiness from pediatric to adult care in patients with DMD and BMD by applying the TRAQ questionnaire and identifying major systemic barriers in the current care model.

5. Proposing concrete directions for the development of a formal transition model in Romania, tailored to the needs of young people with muscular dystrophy.
6. Presenting three representative clinical cases illustrating rare or severe aspects of disease progression: fatal respiratory complications, early-onset dilated cardiomyopathy, and a severe DMD phenotype in a female patient.

Contributing to the documentation of clinical, psychosocial, and organizational realities of patients with DMD and BMD in Romania, providing a foundation for future research, intervention programs, and health policy development in the field of neuromuscular disorders.

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